

# EEP 596: AI and Health Care || Lecture 2

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Univ. of Washington, Seattle

Mar 31, 2022

# In-class Breakout (5 minutes)

Accuracy of Diagnosis  
→ Faster Lab Tests (Imaging)

Reduce Health Cost

→ Preventive Diagnostics  
Automated Transcribing of Docs → notes

→ Better & Automated Diagnostics  
→ Better Info. sharing

## Specific bottlenecks in health care

What are some specific bottlenecks in health care that you can think of where data analytics and AI can help? Think of the whole health care pipeline - from health care providers, to hospitals, to insurance to patients. What are some opportunities and what are some challenges? Which challenges can data science help with and which challenges require policy changes or fixing other infrastructure issues?

# Next few Lectures: Recap of Linear Regression and Classification

- ML is a pre-requisite for this course. So recap will be high-level and quick!

↳ Look up a reference on ML  
↳ Notes for each lecture  
↳ Summaries  
↳ Reference posted on discord  
- ML

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↳ Automation / Auto-Scoring

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- Suggestions for interesting health care angles to cover are welcome

↳ create a Survey + Sendout /  
Oriswood!

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- Guest lectures (about 4-6 planned this quarter) will shed light on state of health care and challenges from experts

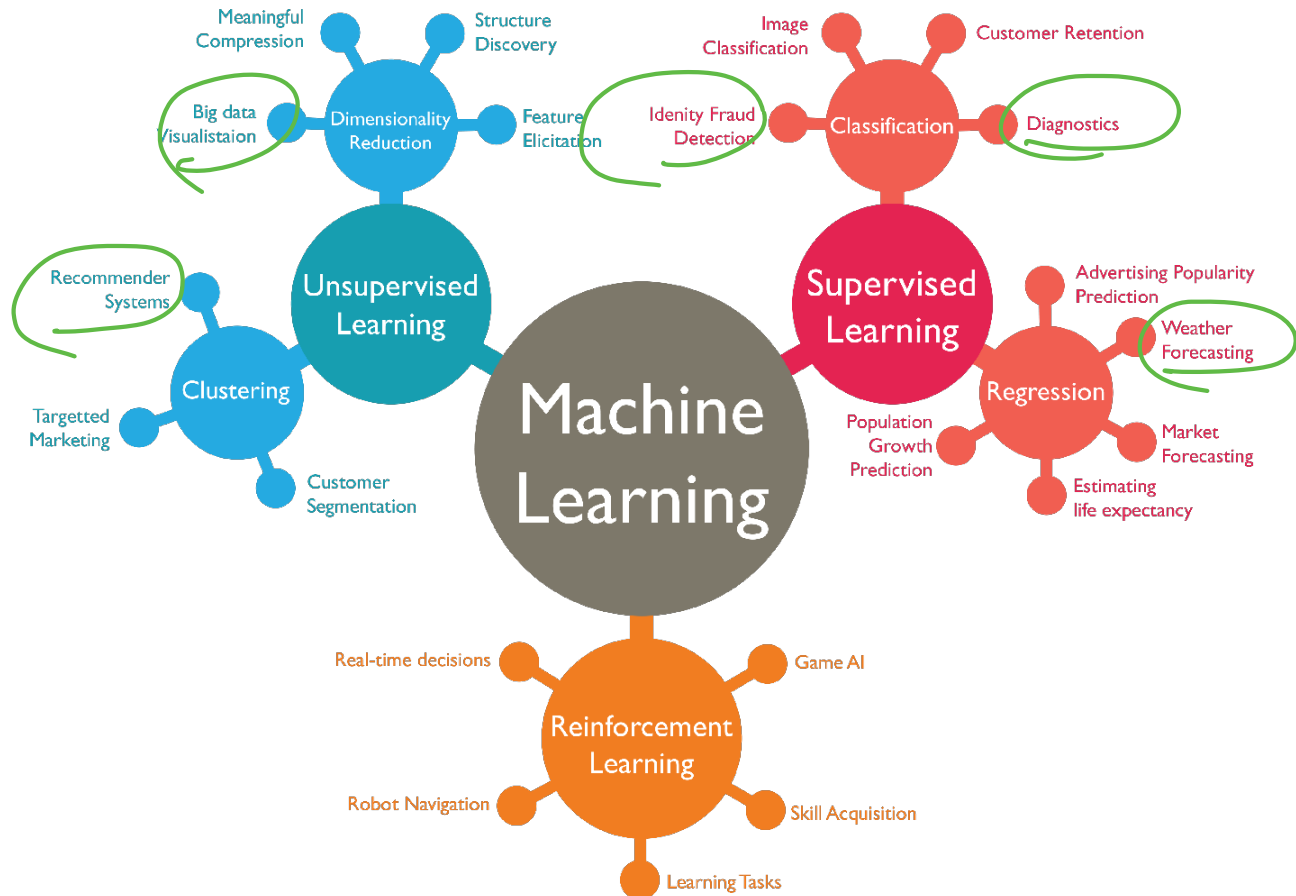
↳ Wednesday / Second half of lecture



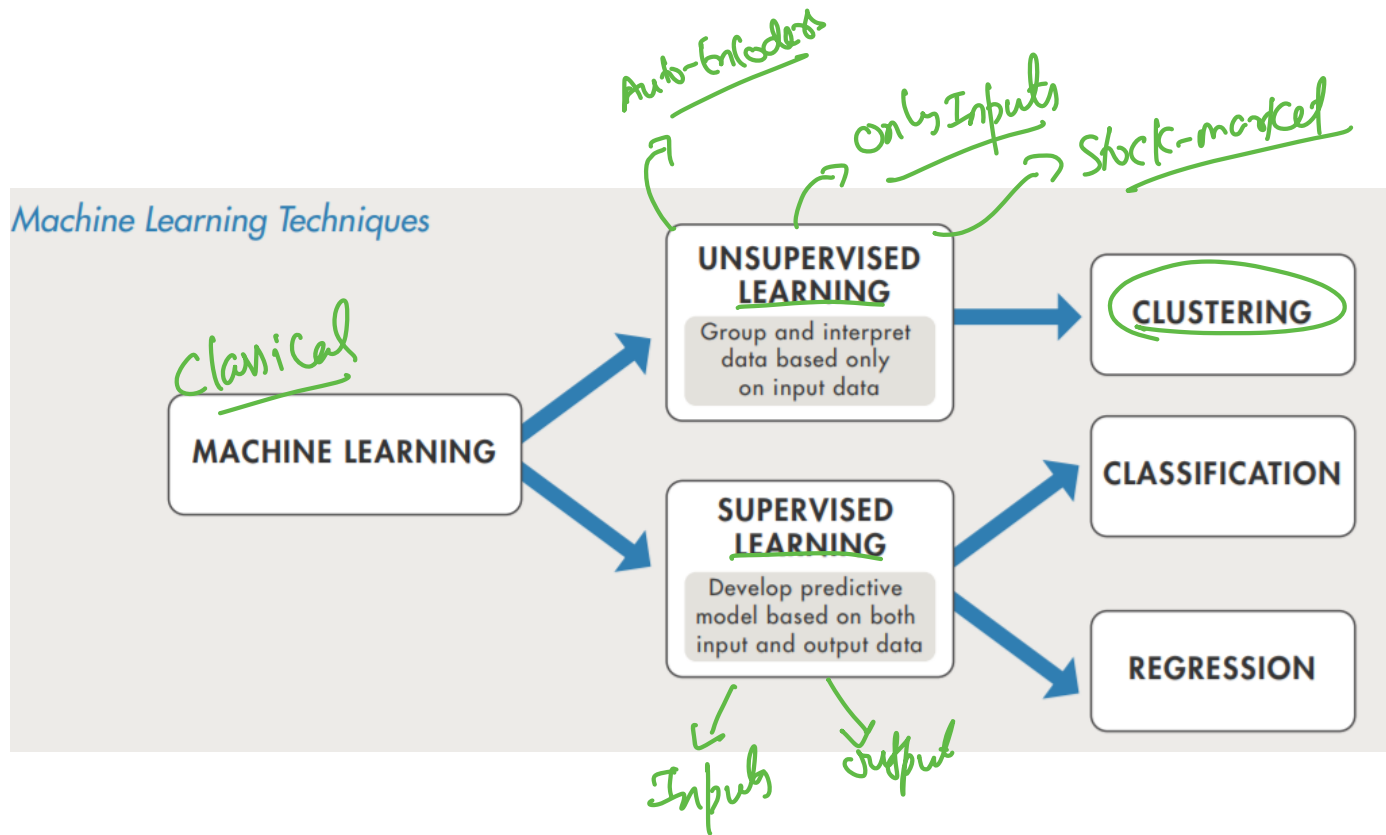
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- Any questions/thoughts/suggestions?

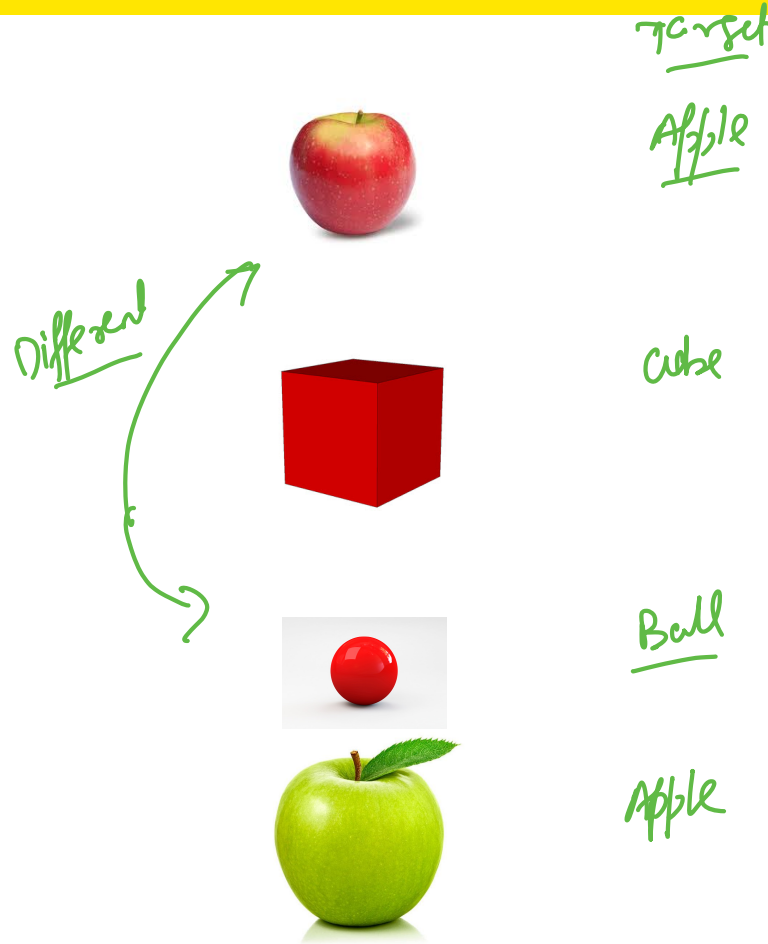
# What is Machine Learning?



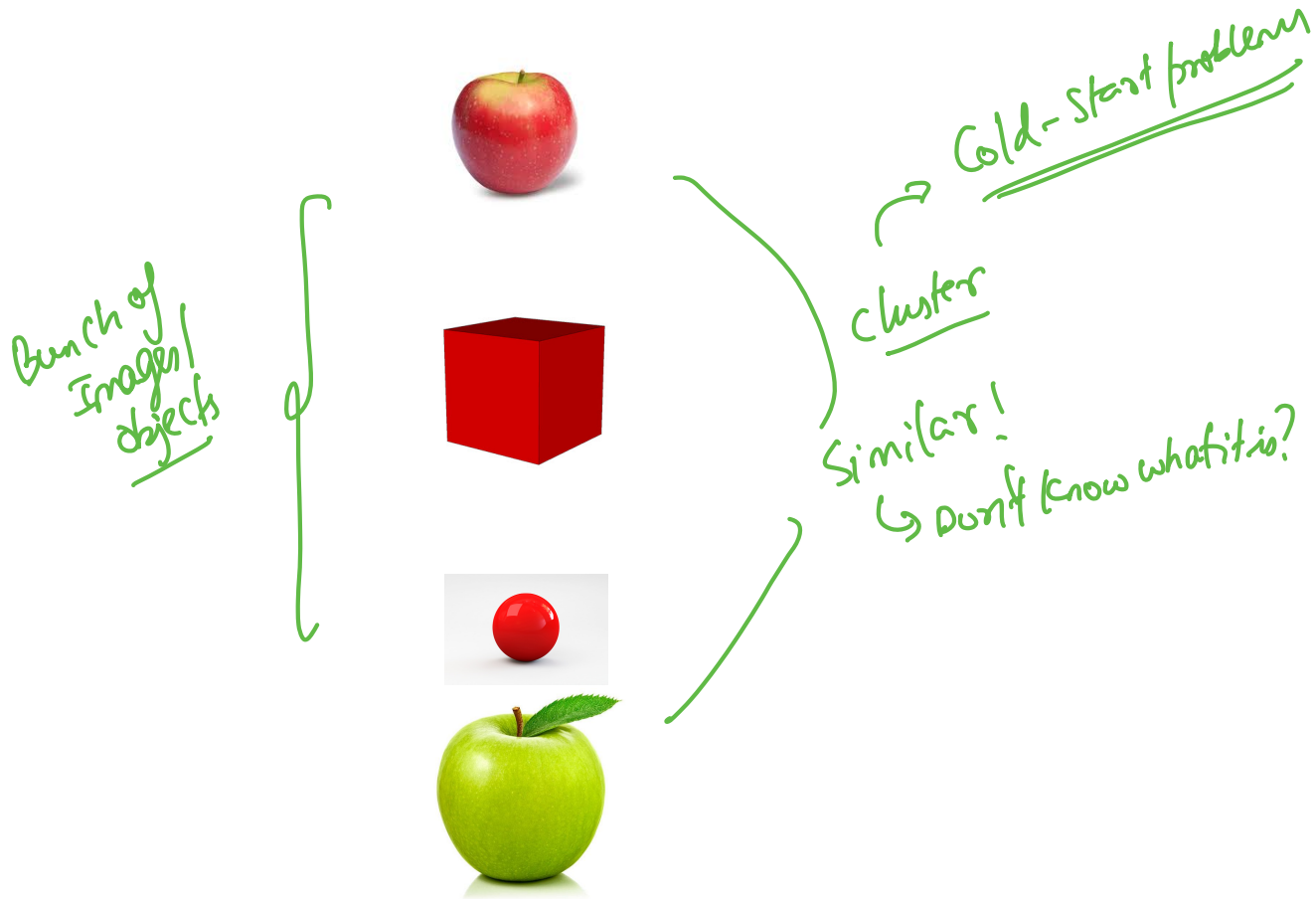
# Supervised vs Unsupervised Learning



# Supervised Learning

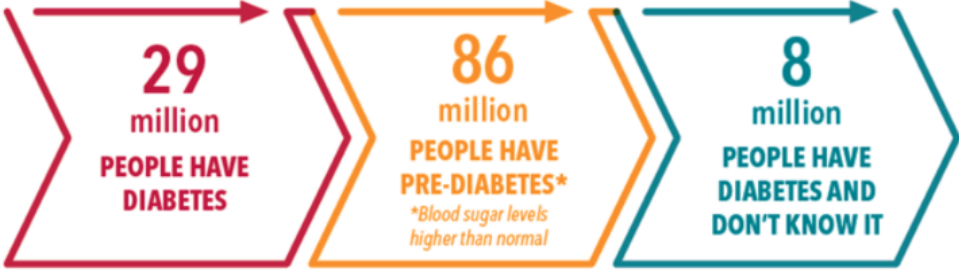


# Un-Supervised Learning



# Classification Case Study: Diabetes

## The FACTS about DIABETES\*



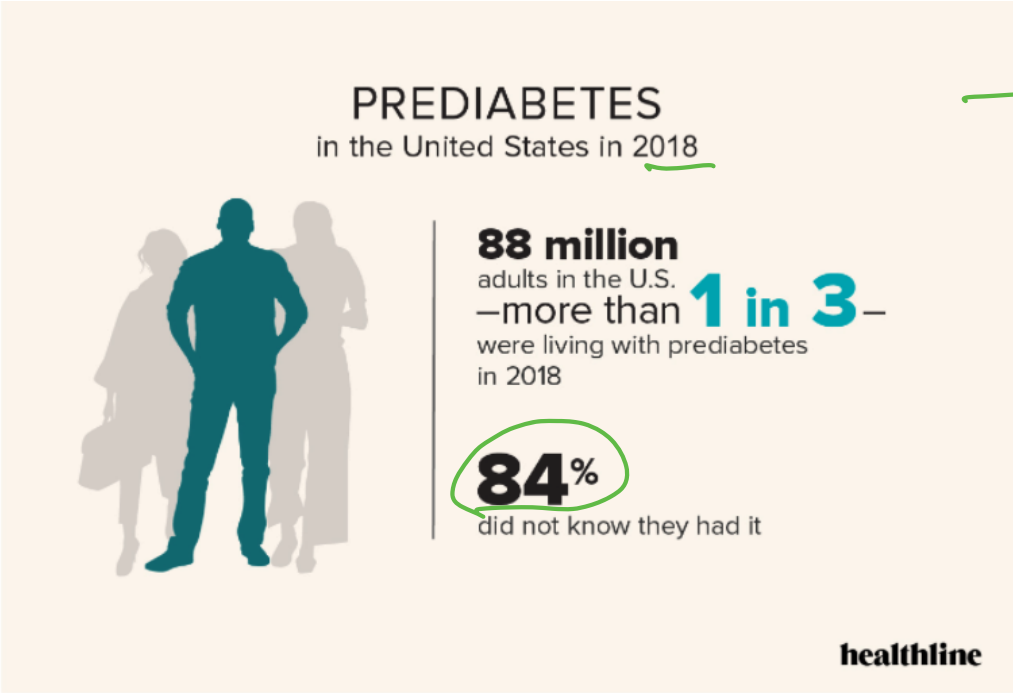
\*U.S. Based Statistics

12/18

↳ 86M.

Issue with diagnostics/pre-emption

# Classification Case Study: Diabetes



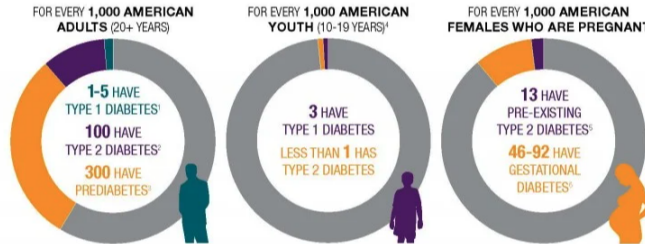
Source: [2020 CDC report](#)

# Classification Case Study: Diabetes

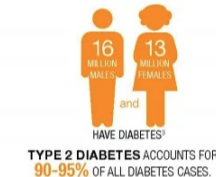
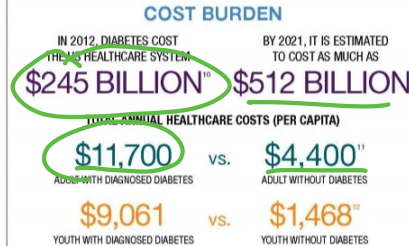
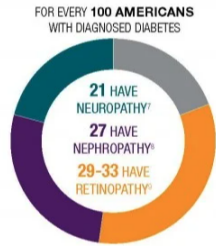
ENDOCRINE  
FACTS AND FIGURES  
FIRST EDITION

## DIABETES

OVER 29 MILLION AMERICANS HAVE DIABETES



Early Diagnosis  
Can cut costs  
by half  
for Diabetes



- Source:
- 1 Merkle et al. *Epidemiology* 2013;24(5):773-774.
  - 2 Selvin et al. *Annals of Internal Medicine* 2014;160(8):517-525.
  - 3 National Diabetes Statistics Report. Centers for Disease Control and Prevention, 2014.
  - 4 Diabeika et al. *The Journal of the American Medical Association* 2014;311(17):1775-1786.
  - 5 Lawrence et al. *Diabetes Care* 2008;31(5):899-904.
  - 6 DiGello et al. *Preventing Chronic Disease* 2014;11:E104.
  - 7 Cheng et al. *American Journal of Epidemiology* 2006;164(9):673-680.
  - 8 Kuczman et al. *Annals of Family Medicine* 2006;4(5):427-432.
  - 9 Zhang et al. *The Journal of the American Medical Association* 2015;304(8):944-950; Wong et al. *American Journal of Ophthalmology* 2006;141(2):446-455.
  - 10 American Diabetes Association. *Diabetes Care* 2013;36(4):1033-1046.
  - 11 Voth et al. *Health Affairs (Project Hope)* 2012;31(1):201-206.
  - 12 Shrivastha et al. *Diabetes Care* 2011;34(5):1097-1101.

For more information, e-mail [factsandfigures@endocrine.org](mailto:factsandfigures@endocrine.org)

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ENDOCRINE SOCIETY



# Classification Case Study: Diabetes

```
data.head(10)
```

	Pregnancies	Glucose	BloodPressure	SkinThickness	Insulin	BMI	DiabetesPedigreeFunction	Age	Outcome
0	6	148	72	35	0	33.6	0.627	50	1
1	1	85	66	29	0	26.6	0.351	31	0
2	8	183	64	0	0	23.3	0.672	32	1
3	1	89	66	23	94	28.1	0.167	21	0
4	0	137	40	35	168	43.1	2.288	33	1
5	5	116	74	0	0	25.6	0.201	30	0
6	3	78	50	32	88	31.0	0.248	26	1
7	10	115	0	0	0	35.3	0.134	29	0
8	2	197	70	45	543	30.5	0.158	53	1
9	8	125	96	0	0	0.0	0.232	54	1

History of Diabetes

TARGET

Glucose → HbA1C → 3month sugar level in body

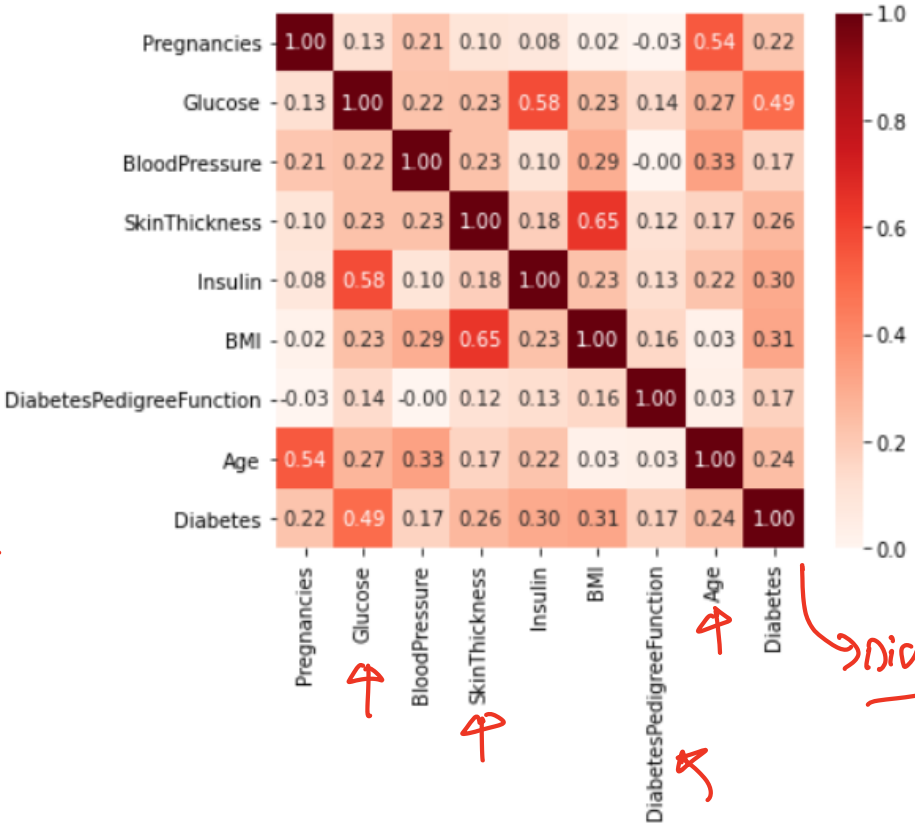
# Classification Case Study: Diabetes

Feature			Classification rules	
Num.	Name	Class	TN	TP
1	Number of times pregnant	Numeric	[0.79,16.04]	[13.69,16.28]
2	Plasma glucose concentration	Numeric	[25.92,148.08]	n/a
3	Diastolic blood pressure	Numeric	[6.18,84.45]	[53.71,81.74]
4	<u>Triceps skin fold thickness</u>	Numeric	[8.33,52.15]	[15.39,27.88]
5	2-h serum insulin	Numeric	[435.02,730.53]	[759.30,840.51]
6	Body mass index	Numeric	[36.43,37.96]	[31.75,58.41]
7	Diabetes pedigree function	Numeric	n/a	n/a
8	Age	Numeric	[68.45,75.98]	34.29,41.01]



11.2.11 ↖

# Classification Case Study: Diabetes

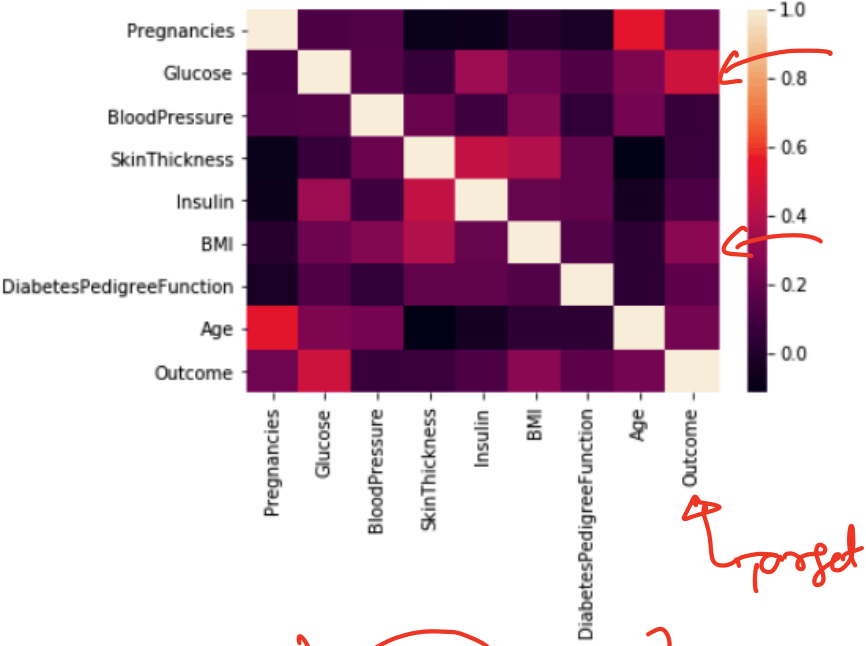


Features are not heavily correlated with each other  
 - This helps model learn from diff. features!

Values in [0,1]  
 Heat map / Correlation Matrix

Diagonals are 1!

# Classification Case Study: Diabetes



Heat map pooled across the examples

project

Features

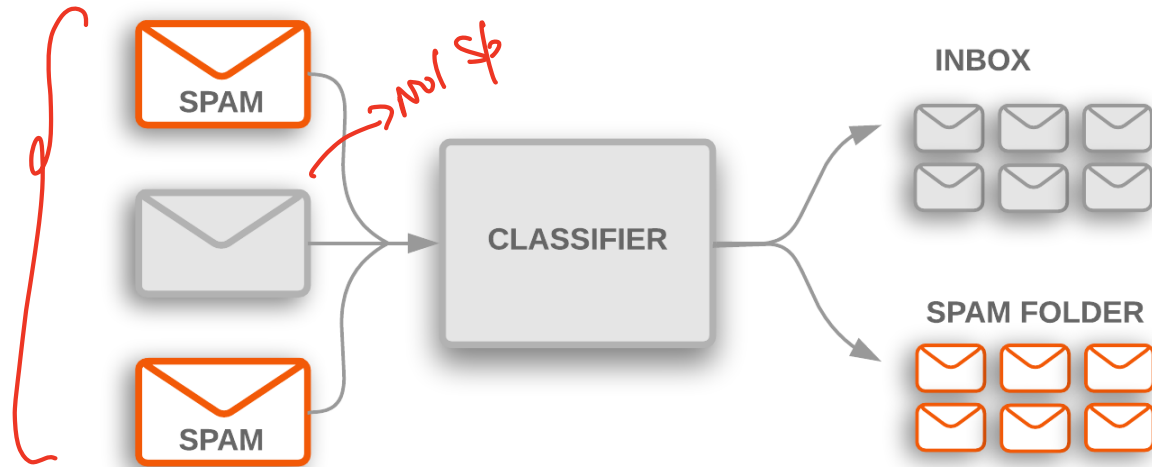
# Classification/Classifiers Recap!

→ Binary Classification

## Pointers

Predict binary values from a set of features. Example: Has Diabetes/Doesn't have diabetes, given health profile of a patient. The health profile informs the features of the patient.

# Classification in Machine Learning



# Difference between Classification and Regression

## Simple difference

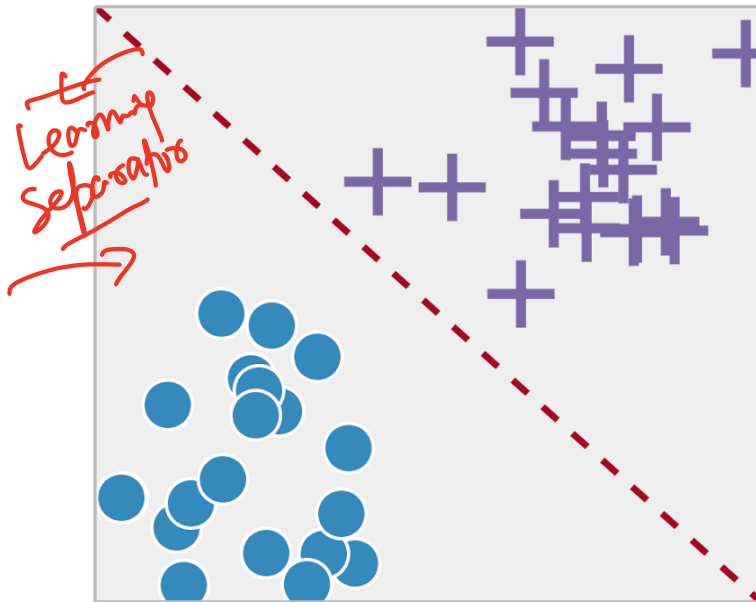
The target type in Regression is **numeric** whereas that in classification is **categorical**

# Difference between Classification and Regression

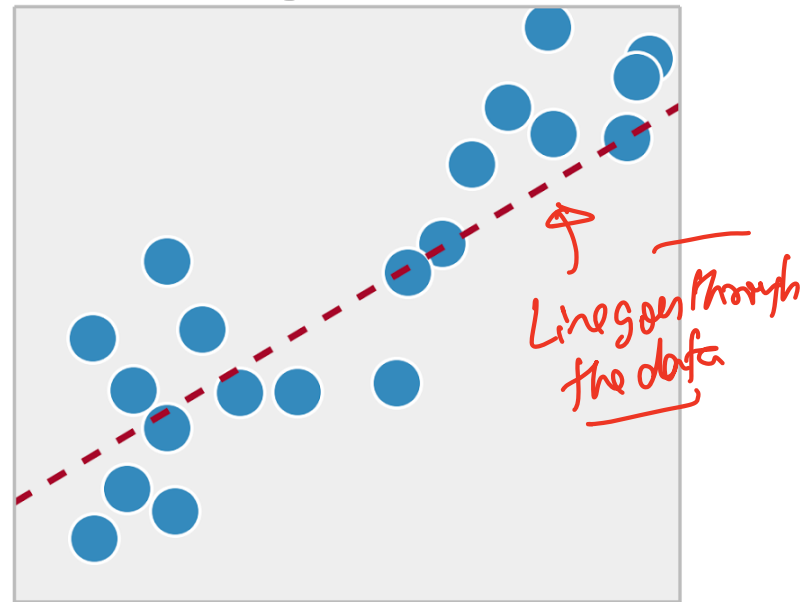
## Simple difference

The target type in Regression is **numeric** whereas that in classification is **categorical**

Classification



Regression





# Types of Classification

## Binary vs Multi-class classification

With binary categories, its a binary classification problem and with multiple categories, we have a multi-class classification.

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For binary classification, the convention is to label the target as positive or negative. Example: Positive for spam and negative for not-spam.

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## Target Example in Diabetes

Example: Positive for has diabetes, negative for does not have diabetes

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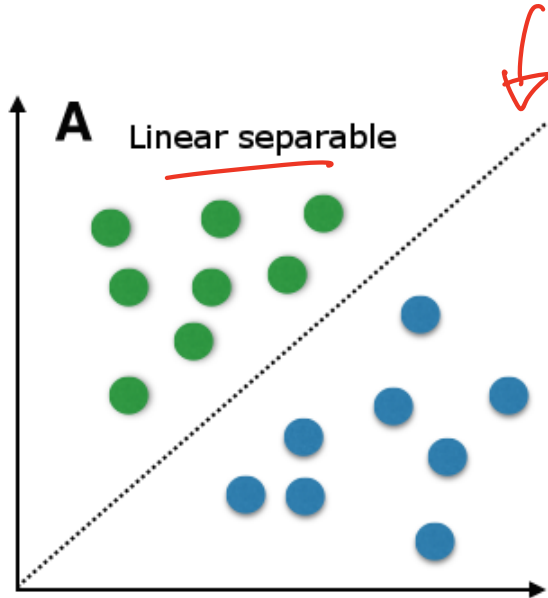
Example: Positive for high-risk of chronic diabetes, negative for high-risk of chronic diabetes (as in the Programming Assignment) low

↳ Prognosis

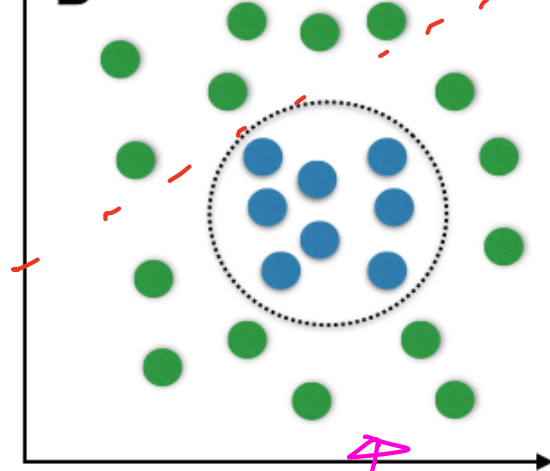
# Spam Classification Example

Email excerpt	Type	Label
Could you please respond by tomorrow?	<u>Not-spam</u>	<u>-1</u>
Congratulations!!! You have been selected...	<u>Spam</u>	<u>+1</u>
Looking forward to your presentation...	Not-spam	-1
...	...	...

# Linear Separability



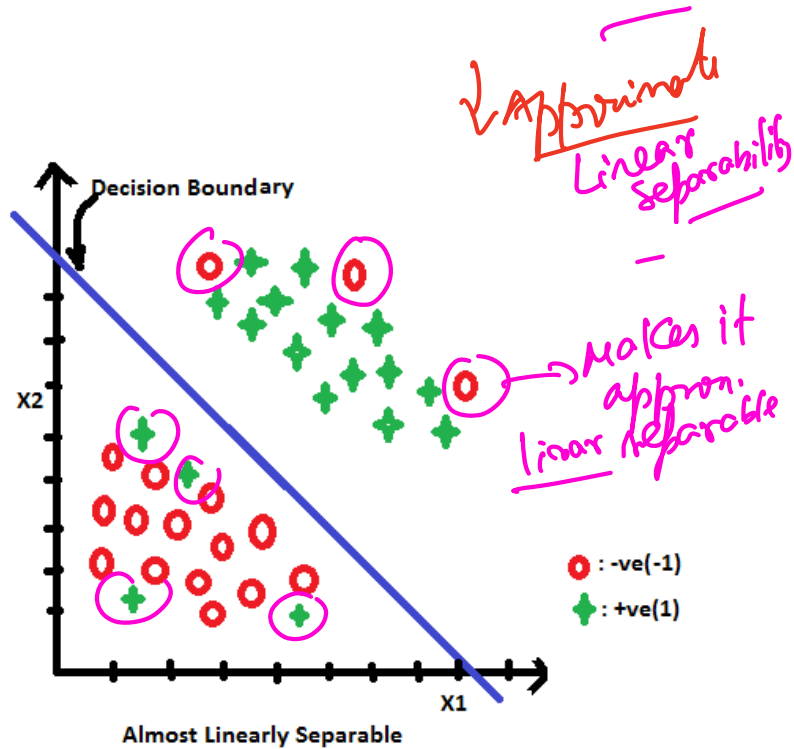
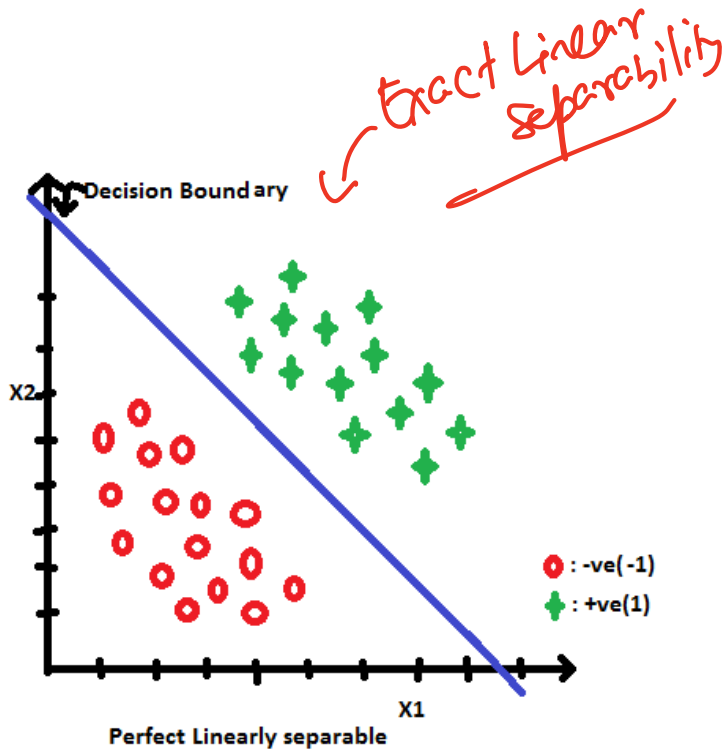
**B** Non linear separable



Does not separate the blues from the greens

We can plot in 2 dimension (feature dimension,  $d=2$ )  
 $d=2$ !  $\rightarrow$  can't plot

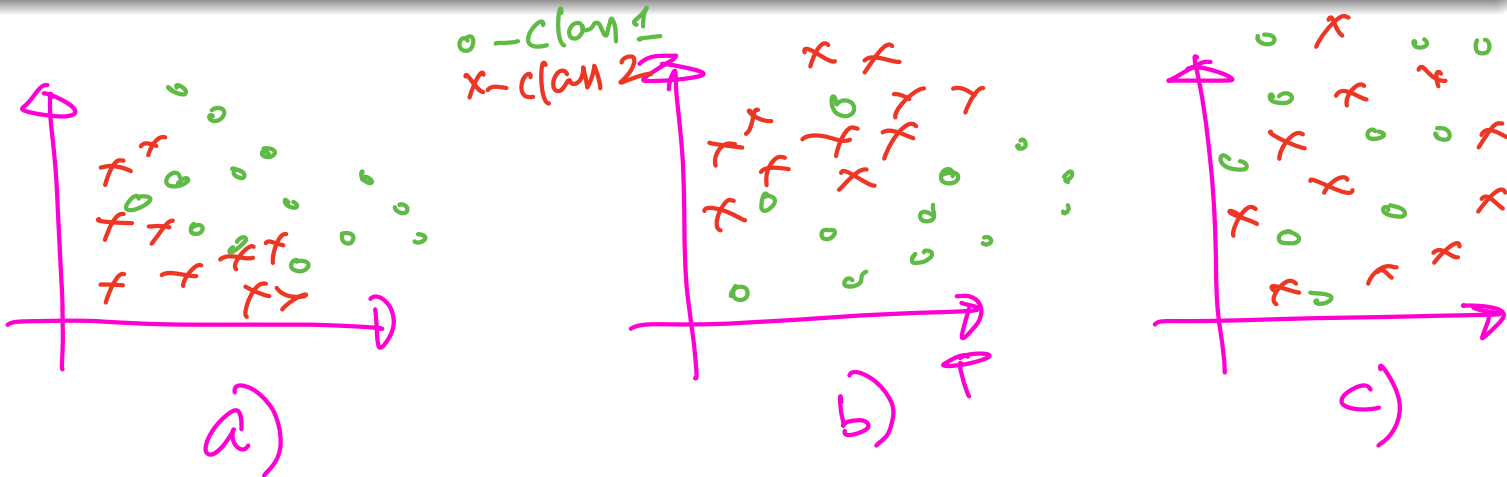
# Approximate Linear Separability



# ICE #1

Which of the following data sets is the closest to being linearly separable?

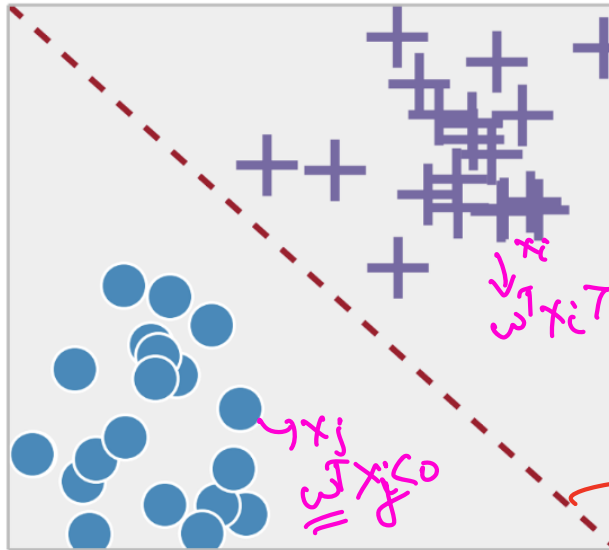
[pollev.com/karthikmohan088](http://pollev.com/karthikmohan088)





# Logistic Regression

Basic Linear model for classification



Have a dummy feature of 1  
 $w^T x = 0$   
weights  
features

## LR fundamentals

- Linear Model
- Want score  $w^T x^i > 0$  for  $y_i = +1$  and  $w^T x_i < 0$  for  $y_i = -1$ !
- If linearly separable data, above is feasible. Else, minimize error in separability!!

# Logistic Regression

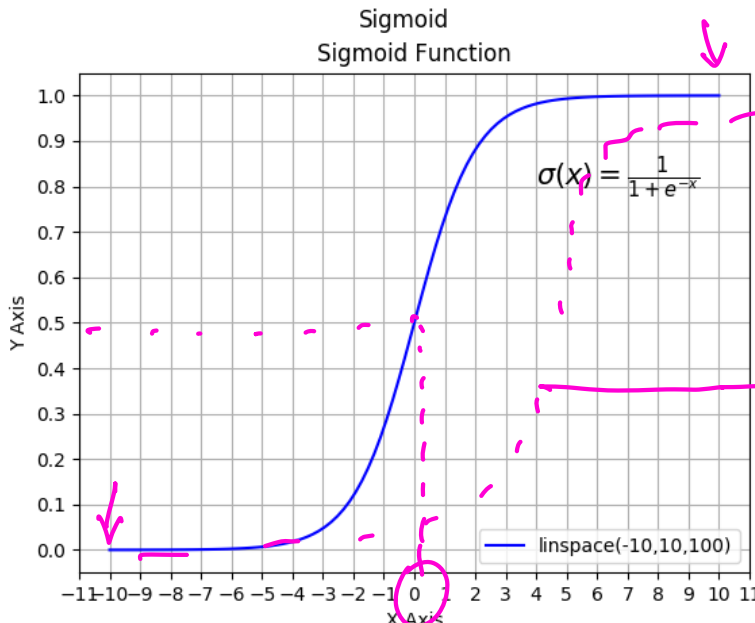
## Probability for a class

In LR, the score,  $w^T x$  is converted to a probability through the sigmoid function. So we can talk about  $P(\hat{y}^i = +1)$  or  $P(\hat{y}^i = -1)$

Score ( $w^T x$ )  $\xrightarrow{\text{convert}}$  probability!

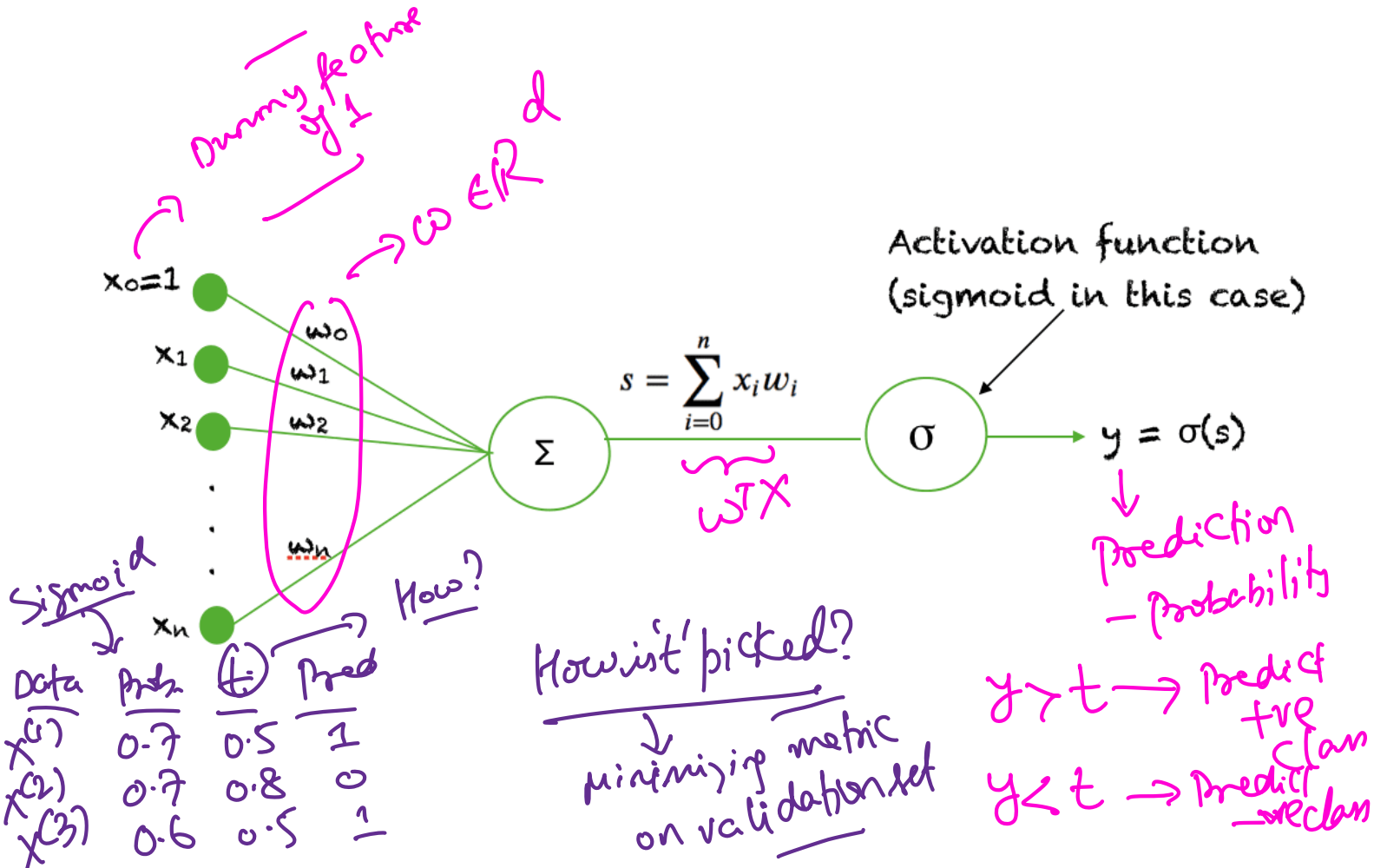
## Sigmoid Function

$\hookrightarrow$  Has Diabetes       $\hookrightarrow$  Does not have diabetes



s shaped  
 $\sigma(x) = \frac{1}{1+e^x}$   
increase!

# LR represented Graphically



# Logistic Regression

## LR Prediction

$$\hat{y}_i = \frac{1}{1 + e^{-\hat{w}^T x^i}}$$

Handwritten annotations:  
- "prob. > 0.5" with an arrow pointing to the numerator '1'.  
- "predicted 0" with an arrow pointing to the denominator '1 + e^{-\hat{w}^T x^i}'.  
- "sigmoid" with an arrow pointing to the entire fraction.  
- "weight" with an arrow pointing to the vector  $\hat{w}$ .  
- "operator" with an arrow pointing to the exponentiation symbol  $e^{-}$ .

## LR Loss

Assume that  $y_i = 0$  or  $y_i = 1$  (i.e. the negative class has a label 0).  
Then the binary cross-entropy loss applies to LR:

$$\min_w \sum_{i=1}^N \underline{y_i} \log(\hat{y}_i) + \underline{(1 - y_i)} \log(1 - \hat{y}_i)$$

Handwritten annotations:  
- A purple arrow points to the  $y_i$  term in the first summand.  
- A purple underline is under the  $y_i$  term.  
- A purple underline is under the  $(1 - y_i)$  term.

# Summary on Logistic Regression

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Logistic Regression predicts a probability of a class that ranges between  $[0, 1]$ .



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5. Logistic Regression uses the Sigmoid or S-shaped function to go from a score to a probability!
6. Logistic Regression uses the log-loss or cross-entropy loss whereas Linear Regression uses the quadratic loss
7. Logistic Regression loss can be derived as a MLE - So its well grounded in statistics.

Maximum Likelihood Estimate

# Evaluating Classifiers!

## ICE #2

Let's say you are tasked with predicting risk of lung cancer for patients. You create a classifier which has 95% accuracy on patients who actually have low risk of lung cancer. Should you be happy with the classifier?

- a) Yes
- b) No
- c) Maybe!
- d) Something's fishy!

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# Evaluating Classifiers!

ICE #3 *Observational study vs a randomized Control Trial / study*

Let's say you are tasked with predicting risk of lung cancer for patients. Your data set is obtained from patients who volunteer for the study and hence you end up having a lot of patients with risk for lung cancer. You create a classifier which has 90% accuracy on patients who actually have high risk of lung cancer. Should you be happy with the classifier?

- a) Yes
- b) No
- c) Maybe!
- d) Something's fishy!

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# Evaluating classifiers

## Class imbalance

The above data set is an example of class imbalance. What can go wrong here?

# Evaluating classifiers

## Class imbalance

The above data set is an example of class imbalance. What can go wrong here?

Better metric than accuracy

*100 cancer patient  
900 non-cancer patient*

Consider the **confusion matrix** for above cancer classification example with the trivial classifier (predict everything as not-cancer).

	Predicted Positive	Predicted Negatives
Positives	0	100
Negatives	0	900

*90% Accuracy  
seems good but  
needs careful  
attention*

# Evaluating classifiers

## Better metric than accuracy

Consider the confusion matrix for above cancer classification example with the trivial classifier (predict everything as not-cancer).

	Predicted Positive	Predicted Negatives
Positives	✓ 0	100 ✗
Negatives	✗ 0	900 ✓

← Predictions  
← Confusion matrix  
100  
900

← True/False Labels

Positives = Patients with cancer  
Negatives = Patients without cancer



# Evaluating classifiers

## Better metric than accuracy

Consider the confusion matrix for above cancer classification example with the trivial classifier (predict everything as not-cancer).

	Predicted Positive	Predicted Negatives
Positives	0	100
Negatives	0	900

## Better metric than accuracy

Accuracy is how many data points the classifier got right divided by the total data points. What's accuracy here?

$$\text{Accuracy} = \frac{\text{Sum of Diagonals}}{\text{Total Data Points}}$$

# Evaluating classifiers

## Better metric than accuracy

Consider the confusion matrix for above Cancer classification example with the trivial classifier (predict everything as not-cancer).

	Predicted Positive	Predicted Negatives
Positives (P)	0	100
Negatives (N)	0	900

# Evaluating classifiers

## Better metric than accuracy

Consider the confusion matrix for above Cancer classification example with the trivial classifier (predict everything as not-cancer).

	Predicted Positive	Predicted Negatives
Positives (P)	0	100
Negatives (N)	0	900

## ✓ Accuracy, Precision, Recall and F1-score

	Predicted Positive	Predicted Negatives
Positives (P)	<u>TP</u>	<u>FN</u>
Negatives (N)	<u>FP</u>	<u>TN</u>

# Evaluating classifiers

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Consider the confusion matrix for above Cancer classification example with the trivial classifier (predict everything as not-cancer).

	Predicted Positive	Predicted Negatives
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# Evaluating classifiers

## Better metric than accuracy

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	Predicted Positive	Predicted Negatives
Positives (P)	0	100
Negatives (N)	0	900

→ recall

## Accuracy, Precision, Recall and F1-score

$$\text{Precision (Pr)} = TP / (TP + FP)$$

→ looking at column

$$\text{Recall (R)} = TP / (TP + FN) = TP / P$$

$$\text{F1-score} = \frac{2 \times Pr \times R}{Pr + R}$$

} Harmonic mean between P & R

$$\text{Accuracy (Acc)} = (TP + TN) / (P + N)$$

# ICE #4

## More Confusion!

Let's say we computed a **Confusion Matrix** for another Cancer Classifier on a different data set and we obtained:

	Predicted Positive	Predicted Negatives
Positives (P)	50	50
Negatives (R)	100	400

## Metrics!

**Accuracy**, **Pr**, **R** and **F1** are as follows:

- a) 75%, 0.2, 0.5, 0.285
- b) 80%, 0.3, 0.4, 0.285 ?
- c) 80%, 0.5, 0.3, 0.1875 .
- d) 75%, 0.3, 0.5, 0.1875

# Programming Assignment 1: Diabetes Classification

## Kaggle Contest

- **Description:** You get to work on the Diabetes data set and make predictions using your favorite classifiers

DT → Decision Tree  
↓  
Interpretability!  
people in medicine  
use Decision Tree  
charts to  
make decision

# Programming Assignment 1: Diabetes Classification

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# Programming Assignment 1: Diabetes Classification

## Kaggle Contest

- **Description:** You get to work on the Diabetes data set and make predictions using your favorite classifiers
  - **Programming component:** A starter Jupyter notebook will be provided <sup>1</sup>
  - **Kaggle component:** Submit your predictions on a “held out” test data set for a fun peer learning experience <sup>2</sup>
  - **Report component:** Consolidate your learnings, insights, graphs in one place <sup>3</sup>
- Handwritten notes:*  
A pink bracket groups the last three items. A pink arrow points from the word "Libraries" (part of "Libraries Modules") to the "Programming component" item.

# Programming Assignment 1: Diabetes Classification

## Kaggle Contest

- **Description:** You get to work on the Diabetes data set and make predictions using your favorite classifiers
- **Programming component:** A starter Jupyter notebook will be provided
- **Kaggle component:** Submit your predictions on a “held out” test data set for a fun peer learning experience
- **Report component:** Consolidate your learnings, insights, graphs in one place
- Assigned Sunday morning and due next Sunday night

# Training the Logistic Regression Model

*vs Any Model*

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$y$

# Example: 70 : 10 : 20 Train-Val-Test data split

Train Set

Choose 70% train data at random

x <sub>1</sub>	x <sub>2</sub>	x <sub>3</sub>	x <sub>4</sub>	x <sub>5</sub>	x <sub>6</sub>	x <sub>7</sub>	y

# Example: 70 : 10 : 20 Train-Val-Test data split

Add 20% test data at random

x <sub>1</sub>	x <sub>2</sub>	x <sub>3</sub>	x <sub>4</sub>	x <sub>5</sub>	x <sub>6</sub>	x <sub>7</sub>	y
Green	Green	Green	Green	Green	Green	Green	Green
Green	Green	Green	Green	Green	Green	Green	Green
Red	Red	Red	Red	Red	Red	Red	Red
Green	Green	Green	Green	Green	Green	Green	Green
Green	Green	Green	Green	Green	Green	Green	Green
White	White	White	White	White	White	White	White
Green	Green	Green	Green	Green	Green	Green	Green
Green	Green	Green	Green	Green	Green	Green	Green
Green	Green	Green	Green	Green	Green	Green	Green
Red	Red	Red	Red	Red	Red	Red	Red

# Example: 70 : 10 : 20 Train-Val-Test data split

Remainder becomes validation data

X1	X2	X3	X4	X5	X6	X7	y
Green	Green	Green	Green	Green	Green	Green	Green
Green	Green	Green	Green	Green	Green	Green	Green
Red	Red	Red	Red	Red	Red	Red	Red
Green	Green	Green	Green	Green	Green	Green	Green
Green	Green	Green	Green	Green	Green	Green	Green
Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue
Green	Green	Green	Green	Green	Green	Green	Green
Green	Green	Green	Green	Green	Green	Green	Green
Green	Green	Green	Green	Green	Green	Green	Green
Red	Red	Red	Red	Red	Red	Red	Red

Depends on your data set

- 70:10:20
- 80:10:10
- 85:5:10

Very little train data

Hyper-parameters:-  
Fine-tuned on validation only!

# The phenomenon of Overfitting

## Overfitting

Overfitting is when your model performs great on training data but doesn't match up on test data. To account for overfitting, we also have a validation data set.



# The phenomenon of Overfitting

## Overfitting

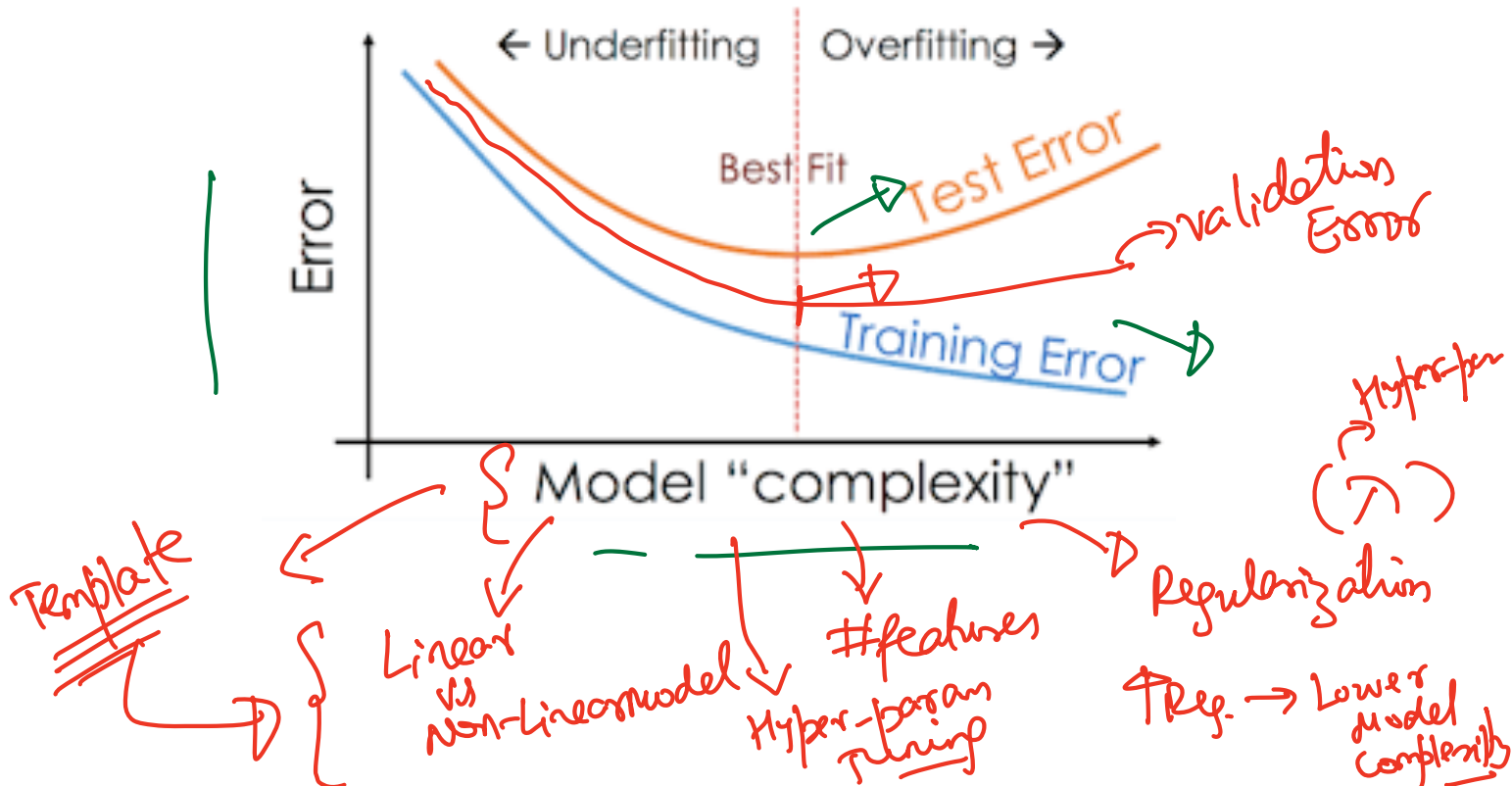
Overfitting is when your model performs great on training data but doesn't match up on test data. To account for overfitting, we also have a validation data set.

## Overfitting

When you have 90% accuracy on your training data for predicting diabetes but 70% on Kaggle contest in programming 1!

+ Regularization  
+ Feature selection

# The figure to remember for over-fitting!



# Understanding over-fitting better

- Idea is that there maybe many solutions that fit the data - So pick the solution wisely!

Overfitting  
over  
fitting

# Understanding over-fitting better

- Idea is that there maybe many solutions that fit the data - So pick the solution wisely!
- Consider the linear system  $Xw = y$ . This system is under-determined when  $N < d$  (number of examples ; feature dimension)

*Linear Algebra*

*data* → *weights* → *labels*

$$\underbrace{X}_{N \times d} \underbrace{w}_{d \times 1} = \underbrace{y}_{N \times 1}$$

*(x) ∈ ℝ<sup>d</sup>*     *w ∈ ℝ<sup>d</sup>*

*N*

*X*     *w*     *y*

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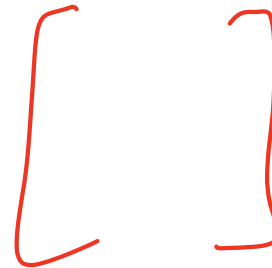
Handwritten notes in red:

$w_1 + w_2 = 1$

$\begin{cases} [0, 1] \\ [1, 0] \\ (\alpha, \beta) \end{cases}$   $\alpha + \beta = 1$

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↳ feature selection strategies

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- Solution B: Decrease number of features so that  $d \ll N$
- Solution C: Regularization! (Perhaps accomplish B as well along the way)  
*Dropout*  $\hookrightarrow$  *also does feature selection!*

# Next Lecture

More on over-fitting, Decision Trees Classifiers, Random Forests and other  
important ML details ~~recap!~~